BFAS
CONFIDENTIAL PRELIMINARY DATA QUESTIONNAIRE

Date: __________ Time: __________

	CLIENT	SPOUSE
Name:	________________________________	______________________________
Date of Birth:	________________________________	______________________________
Employer:	________________________________	______________________________
Occupation:	________________________________	______________________________
Business Phone:	________________________________	______________________________
Address:	________________________________	______________________________
Home Phone:	________________________________	______________________________

Number of Children and Their Ages: ____

____

"How Were You Hoping I Could Help You Most?"

___ To provide income at retirement.

___ To help fund my children's educations.

___ To maximize return on investments.

___ To minimize income tax liability.

___ To hedge against inflation.

___ To review my family's financial security in the event of:
- ___ Disability
- ___ Loss of Life
- ___ Unemployment

___ To provide liquidity for sudden cash needs.

___ To provide additional income now.

___ To provide investment growth.

___ To review financial and tax implications of a pending divorce or separation.

___ To review my current estate plan.

___ To analyze the implications of the sale or purchase of a personal residence or other major asset.

___ To review my current use of debt financing (mortgages, notes, etc.)

___ To plan for serious illness or disability.

Other: ____

____

Continued on other side

1. Estimate your total household income before taxes:

___ Below $50,000	___ $75,000-$100,000	___ $125,000-$150,000
___ $50,000-$75,000	___ $100,000-$125,000	___ Over $150,000

2. What was your total federal income tax liability last year? $___________

3. Balance Sheet Information (round to nearest $1,000):

ASSETS	LIABILITIES
_______ Cash Accounts (Savings, Checking, CD's, etc)	________ Credit Cards
_______ Stocks, Stock Mutual Funds	________ Notes Payable
_______ Bonds, Bond Mutual Funds	________ ______________________________
_______ Limited Partnerships	________ ______________________________
_______ Retirement Funds	________ ______________________________
_______ Cash Value of Life Ins	________ ______________________________
_______ Residence	________ Loan on Residence
_______ Second Home	________ Loan on Second Home
_______ Investment Real Estate	________ Loan on Investment Real Estate
_______ Personal Property (autos, jewelry, furnishings, etc)	________ Vehicle Debt
_______ Total Assets	________ Total Liabilities

	Client	Spouse
4. Are you covered under a retirement program?	___ Yes ___ No	___ Yes ___ No
5. Are you currently receiving retirement income?	___ Yes ___ No	___ Yes ___ No
6. Do you have an Individual Retirement Account?	___ Yes ___ No	___ Yes ___ No
7. Do you have up-to-date wills?	___ Yes ___ No	___ Yes ___ No

8. Please bring a copy of your most recent personal income tax returns to our initial interview.

9. Please bring a copy of your life and health insurance policies to our initial interview.

10. If you have an extensive portfolio, please bring an itemized statement to our initial interview.

This information will not be disclosed by Beach Financial Advisory Service to any other person, firm or entity without your prior consent, unless such disclosure is required by law.